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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Desiree H.H. Tsao, Jean-Baptiste Telliez,  
Thomas McDonagh, Lih-Ling Lin, Sang Hsu,  
Guang-Yi Xu, and A. Karl Malakian

Serial No.: 09/821,819

Filed: March 29, 2001

For: AN N-TRADD ACTIVE SITE AND USES THEREOF

**COMMUNICATION IN RESPONSE TO JULY 13, 2001 NOTICE  
TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

Commissioner for Patents  
Washington, D.C. 20231  
Box: Missing Parts

Sir:

"Express Mail" mailing label No. EL613247640US

Date of Deposit September 13, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Name: Lisa M. Melanson

Signature: Lisa Melanson

The U.S. Patent and Trademark Office issued a Notice to File Missing Parts of Nonprovisional Application on July 13, 2001. In response to the Notice to File Missing Parts, Applicants enclose the following:

1. a copy of the July 13, 2001 Notice to File Missing Parts of Nonprovisional Application (Exhibit A);
2. an executed Declaration for Utility Patent Application (Exhibit B);
3. seven (7) executed Powers of Attorney (Exhibit C);
4. an Amendment in Response to July 13, 2001 Notice to File Missing Parts of Nonprovisional Application, with Schedule A attached (Exhibit D);
5. substitute drawings (Exhibit E);
6. pages 1-3 of the Sequence Listing (Exhibit F);
7. a computer-readable form containing the Sequence Listing (Exhibit G);

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Page 2

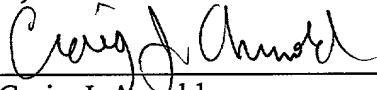
8. a check in the amount of \$1378.00, to cover the \$710.00 basic filing fee, the \$538.00 claims fees, and the \$130.00 surcharge fee; and
9. a return receipt postcard.

A response to the July 13, 2001 Notice to File Missing Parts of Nonprovisional Application is due on September 13, 2001. Accordingly, this Communication is being timely filed.

No fee, other than the \$1378.00 to cover the \$710.00 basic filing fee, the \$538.00 claims fees, and the \$130.00 surcharge fee, is deemed necessary in connection with the filing of this Communication. If any further fee is required, authorization is hereby given to charge any deficiency to Deposit Account No. 01-1785.

Respectfully submitted,

AMSTER, ROTHSTEIN & EBENSTEIN  
Attorneys for Applicants  
90 Park Avenue  
New York, New York 10016  
(212) 697-5995

By   
Craig J. Arnold  
Registration No. 34,287

Dated: September 13, 2001  
New York, New York

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D. C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/821,819	03/29/2001	Desiree H.H. Tsao	37174/7



Craig J. Arnold  
AMSTER, ROTHSTEIN & EBENSTEIN  
90 Park Avenue  
New York, NY 10016

CONFIRMATION NO. 6264

## FORMALITIES LETTER



\*OC00000006292491\*

Date Mailed: 07/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/18/2001 BABRAH.L 00000005 03621819

FILED UNDER 37 CFR 1.53(b)

01 01:101 710.00 GP  
02 02:103 378.00 GP  
03 03:102 160.00 GP  
04 04:105 130.00 GP

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$538.
  - \$378 for 21 total claims over 20.
  - \$160 for 2 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1378.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention

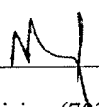
is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF

**For questions regarding compliance to these requirements, please contact:**

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn Software, call (703) 306-2600**
- **For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)**

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*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE